

## WIC Vendor Violations with Civil Penalties or Disqualification

(effective January 1, 2016)

Violation	1 <sup>st</sup> Instance*	2 <sup>nd</sup> Instance	3 <sup>rd</sup> Instance
Failing to comply with the vendor agreement	Notice of Non-compliance	n/a	1-year disqualification
Failing to provide a receipt	Notice of Non-compliance	\$100	\$200 Subsequent civil penalties double to \$400, \$800, and \$1600
Failing to display prices	Notice of Non-compliance	\$100	\$200 Subsequent civil penalties double to \$400, \$800, and \$1600
Requesting or requiring any identification or information other than the WIC ID card	Notice of Non-compliance	\$100	\$200
Failing to attend required training	Notice of Non-compliance	\$100	6-month waiting period to reapply
Using the "WIC" acronym or logos w/o prior authorization	Notice of Non-compliance	\$100	\$200
Failing to stock appropriate quantities	Notice of Non-compliance	\$100	1-year disqualification
Failing to provide documentation for each incentive item	Notice of Non-compliance	\$100	1-year disqualification
Failing to have at least one WIC register open during all operating hours	Notice of Non-compliance	\$100	\$200 Subsequent civil penalties double to \$400, \$800, and \$1600
Failing to display signs at registers to indicate where WIC is accepted	Notice of Non-compliance	\$100	\$200 Subsequent civil penalties double to \$400, \$800, and \$1600
Stocking expired foods on the shelf in three or more food categories that are on the minimum stock requirements	Notice	\$100	1-year disqualification
Seeking restitution	\$100	\$200	3-year disqualification
Requiring a cash purchase	Notice of Non-compliance	\$100	\$200
Requiring shoppers to pay for authorized foods other than with a food instrument	Notice of Non-compliance	\$100	\$200
Providing unauthorized food items, including charging for authorized food in excess of those listed on the food instrument	Notice of Non-compliance	n/a	1-year disqualification

<b>Violation</b>	<b>1<sup>st</sup> Instance*</b>	<b>2<sup>nd</sup> Instance</b>	<b>3<sup>rd</sup> Instance</b>
Providing change when redeeming a food instrument	Notice of Non-compliance	n/a	1-year disqualification
Allowing a refund or any other item of value in exchange for authorized foods	Notice of Non-compliance	n/a	1-year disqualification
Providing WIC shoppers with incentive items or other merchandise and/or services	Notice of Non-compliance	n/a	1-year disqualification
Failure to pay a civil penalty assessed by the Authority	Notice of Non-compliance	n/a	1-year disqualification
Selling expired authorized foods or formula	Notice of Non-compliance	n/a	1-year disqualification
Sale of alcohol, an alcoholic beverage, or tobacco **	3-year disqualification	n/a	n/a
Failing an inventory audit**	3-year disqualification	n/a	n/a
Claiming reimbursement which exceeds the store's documented inventory **	Notice of Non-compliance	n/a	3-year disqualification
Vendor overcharges**	Notice of Non-compliance	n/a	3-year disqualification
Transacting food instruments outside of authorized channels **	Notice of Non-compliance	n/a	3-year disqualification
Charging for foods not received by the authorized shopper**	Notice of Non-compliance	n/a	3-year disqualification
Providing credit or non-food items **	Notice of Non-compliance	n/a	3-year disqualification
Trafficking**	6-year disqualification	n/a	n/a
Selling a firearm, ammunition, explosive, or controlled substance**	6-year disqualification	n/a	n/a
Convicted of trafficking or selling firearms, ammunition, explosives, or controlled substances**	Permanent disqualification	n/a	n/a

\*Oregon Administrative Rule 333-054-0050 (1): The Authority must notify a vendor in writing when an investigation reveals an initial incidence of a violation for which a pattern of incidences must be established in order to impose a sanction, before another such incidence is documented, unless the Authority determines, in its discretion, on a case-by-case basis, that notifying the vendor would compromise an investigation.

\*\*Potential reciprocal disqualification from SNAP.