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OREGON ADMINISTRATIVE RULES
OREGON HEALTH AUTHORITY, PUBLIC HEALTH DIVISION
CHAPTER 333

DIVISION 10

HEALTH PROMOTION AND CHRONIC DISEASE PREVENTION

333-010-0405

Dental Pilot Projects: Definitions

For purposes of OAR 333-010-0400 through 333-010-0470, the following definitions apply:

- (1) "Authority" means the Oregon Health Authority.
- (2) "Clinical phase" means instructor supervised experience with a patient during which a trainee applies knowledge presented by an instructor.
- (3) "Didactic phase" means an organized body of knowledge presented by an instructor.
- (4) "Director" means the Public Health Director within the Oregon Health Authority, or his or her designee.
- (5) "Employment/Utilization Phase" means ongoing application of didactic and clinical knowledge and skills in an employment setting under the supervision of a supervisor.
- (6) "Employment/Utilization Site" means a health facility, any clinical setting where health care services are provided, and the facilities or programs described in ORS 680.205(1).
- (7) "Evaluator" means an individual who will conduct an evaluation of the pilot project and is unaffiliated with the project and who has no financial or commercial interest in the project's outcome.
- ~~(8)~~ "Instructor" means a person qualified to practice or teach the knowledge or skills a trainee is to learn.
 - (a) "Clinical instructor" is a person who is certified or licensed in the field for which clinical instruction is occurring.
 - (b) "Non-clinical instructor" is a person with specific training or expertise as demonstrated through a degree or years of experience relevant to the content of instruction.
- ~~(9)~~ "Program" means the Dental Pilot Projects program administered by the Authority.
- ~~(10)~~ "Program staff" means the staff of the Authority with responsibility for the program.
- ~~(11)~~ "Project" means a Dental Pilot Project approved by the director or delegate.
- ~~(12)~~ "Project director" means the individual designated by the sponsor to have responsibilities for the conduct of the project staff, instructors, supervisors, and trainees.
- ~~(13)~~ "Reviewer" means an individual designated by program staff to review and comment on all or portions of a project application.
- ~~(14)~~ "Sponsor" means an entity putting forth an application for a dental pilot project.
- (15) "These rules" means OAR 333-010-0400 through 333-010-0470.
- ~~(16)~~ "Training program" means an organized educational program that includes at least a didactic phase, clinical phase, and usually an employment/utilization phase.

Stat. Auth.: 2011 OL Ch. 716

Stats. Implemented: 2011 OL Ch. 716

333-010-0415

Dental Pilot Projects: Application Procedure

- (1) A sponsor may submit an application for a dental pilot project on a form prescribed by the Authority.
- (2) The application must demonstrate how the pilot project will comply with the requirements of these rules.
- (3) An application must include, but is not limited to the following information:
 - (a) Sponsors:
 - (A) A description of the sponsor, including a copy of an organizational chart that identifies how the project relates organizationally to the sponsor;
 - (B) A copy of a document verifying the sponsor's status as a non-profit educational institution, professional dental organization, or community hospital or clinic, coordinated care organization or dental care organization;
 - (C) A description of the functions of the project director, instructors, and other project staff;
 - (D) The funding sources for the project; and
 - (E) Documentation of liability insurance relevant to services provided by trainees.
 - (b) Trainee information:
 - (A) The criteria that will be used to select trainees; and
 - (B) The number of proposed trainees.
 - (c) Instructor/Supervisor information:
 - (A) The criteria used to select instructors and supervisors;
 - (B) Instructor-to-trainee ratio;
 - (C) The background of instructors in training techniques and methodology;
 - (D) The number of proposed supervisors; and
 - (E) The criteria used to select an employment/utilization site.
 - (d) Costs:
 - (A) The average cost of preparing a trainee, including but not limited to the cost information related to instruction, instructional materials and equipment, space for conducting didactic and clinical phases, and other pertinent costs;
 - (B) The predicted average cost per patient visit for the care rendered by a trainee; and
 - (C) A budget narrative that lists costs associated with key project areas, including but not limited to:
 - (i) Personnel and fringe benefits for project director, instructors, and staff associated with the project;
 - (ii) Contractors and consultants to the project;
 - (iii) Materials and supplies used in the clinical, didactic, and employment/utilization phases of the project;
 - (iv) Equipment and other capital costs associated with the project; and
 - (v) Travel required for implementing and monitoring the project.

Stat. Auth.: 2011 OL Ch. 716

Stats. Implemented: 2011 OL Ch. 716

333-010-0435

Dental Pilot Projects: Evaluation and Monitoring

- (1) Evaluation Plan. A sponsor of a dental pilot project must have an evaluation plan approved by the Authority that includes, but is not limited to the following:
 - (a) A description of the baseline data and information collected about the availability or provision of oral health care delivery, or both, prior to utilization of the trainee;

- (b) A description of baseline data and information to be collected about trainee performance, acceptance among patient and community, and cost effectiveness;
- (c) A description of methodology to be used in collecting and analyzing the data about trainee performance, acceptance, and cost effectiveness; ~~and~~
- (d) A provision for reviewing and modifying objectives and methodology at least annually; ~~and-~~
- (e) Identification of an evaluator unaffiliated with the project and with no financial or commercial interest in the outcome of the project that will conduct the pilot project's evaluation.
- (2) Monitoring Plan. A sponsor of a dental pilot project must have a monitoring plan approved by the Authority that ensures at least quarterly monitoring and describes how the sponsor will monitor and ensure:
 - (a) Patient safety;
 - (b) Trainee competency;
 - (c) Supervisor fulfillment of role and responsibilities; and
 - (d) Employment/utilization site compliance.
- (3) Data. A sponsor's evaluation and monitoring plans must describe:
 - (a) How data will be collected;
 - (b) How data will be monitored for completeness; and
 - (c) How data will be protected and secured.
- (4) A sponsor must permit project staff or their designees to visit each employment/utilization site at least monthly during the first six month period and at least quarterly thereafter.
- (5) A sponsor must provide a report of information requested by the program in a format and timeframe requested.
- (6) A sponsor must report adverse events to the program the day they occur.
- (7) A dental pilot project must re-submit its evaluation and monitoring plan by January 2, 2017 for review and approval by the Authority.
 - (a) If the Authority determines that an evaluation or monitoring plan does not comply with these rules the Authority must notify the sponsor of any deficiencies and provide a deadline for the sponsor to resubmit the plan.
 - (b) If a sponsor does not submit an evaluation or monitoring plan that complies with these rules, after being given an opportunity to correct the deficiencies, the sponsor may be subject to suspension or termination in accordance with OAR 333-010-0470.
 - (c) The Authority shall notify a sponsor of its approval of an evaluation or monitoring plan.

Stat. Auth.: 2011 OL Ch. 716

Stats. Implemented: 2011 OL Ch. 716