



March 8, 2016

Bruce Austin, DMD  
Statewide Dental Director  
Oregon Health Authority

Attention: Eli Schwarz, DDS, MPH, PhD  
Department of Community Dentistry  
School of Dentistry  
Oregon Health & Science University  
3030 SW Moody Avenue, Suite 135 B  
Portland, OR 97201

RE: Dental Pilot Project Application #200, "Training Dental Hygienists to Place Interim Therapeutic Restorations"

Dear Dr. Schwarz,

I am pleased to announce the approval of the "Training Dental Hygienists to Place Interim Therapeutic Restorations," Dental Pilot Project Program Application #200.

This project will test, demonstrate, and evaluate the role of Expanded Practice Dental Hygienists in the following area:

- Teaches new skills to existing categories of dental health care personnel

DPP #200 will train Expanded Practice Dental Hygienists (EPDHs) and demonstrate that EPDHs can successfully place "Interim Therapeutic Restorations" (ITRs) when directed to do so by a collaborating dentist. This project will also demonstrate the effectiveness and potential of the telehealth connected dental team model.

The Oregon Health and Science University, as the project sponsor, is approved to proceed with all of the concepts and pilot sites proposed in its application for DPP #200.

Project Approval Period	March 14, 2016 – September 1, 2020
-------------------------	------------------------------------

Your application to the Dental Pilot Project Program has been approved to operate from March 14, 2016 through September 1, 2020.

Dental Pilot Projects are intended to evaluate the quality of care, access, cost, workforce, and efficacy by teaching new skills to existing categories of dental personnel; developing new categories of dental personnel; accelerating the training of existing categories of dental personnel; or teaching new oral health care roles to previously untrained persons.

The goal of the Dental Pilot Projects is to encourage the development of innovative practices in oral health care delivery systems with a focus on providing care to populations that evidence-based studies have shown have the highest disease rates and the least access to dental care.

<b>Approved Project Sites:</b>	
<b>Training/Didactic Phase:</b>	<ul style="list-style-type: none"> <li>The didactic training will be held via online management system called Sakai, webinars, and in-person meetings in the conference rooms at Capitol Dental Care. The laboratory and the clinical training will take place at Capitol Dental Care in Salem, Oregon.</li> </ul>
<b>Utilization Phase:</b>	<ul style="list-style-type: none"> <li>Approved utilization project sites for this project encompass the following sites in Polk County Central School District:</li> <li><b>Ash Creek Elementary School</b> 1360 North 16<sup>th</sup> Street Monmouth, Oregon 97361</li> <li><b>Independence Elementary</b> 150 South 4<sup>th</sup> Street Independence, Oregon 97351</li> <li><b>Monmouth Elementary,</b> 958 East Church Street Monmouth, Oregon 97361</li> <li><b>Community Action Head Start-Independence site</b> 246 I Street Independence, OR 97351</li> <li><b>OCDC Head Start-Independence</b> 535 G Street Independence, OR 97351</li> </ul>

Any modifications to the approved project must be submitted in writing to the Dental Pilot Project Program. Modifications require program approval prior to implementation.

### **Oregon Administrative Rules, 333-010-0460**

#### **Modifications:**

(1) Any modifications or additions to an approved project shall be submitted in writing to program staff. Modifications include, but are not limited to the following:

(a) Changes in the scope or nature of the project. Changes in the scope or nature of the project require program staff approval;

(b) Changes in selection criteria for trainees, supervisors, or employment/utilization sites; and

(c) Changes in project staff or instructors.

(2) Changes in project staff or instructors do not require prior approval by program staff, but shall be reported to the program staff within two weeks after the change occurs along with the curriculum vitae for the new project staff and instructors.

(3) All other modifications require program staff approval prior to implementation.

The sponsor shall work with the Oregon Health Authority Dental Pilot Project Program to determine the scope and timeline for data submission and reports during the initial six months of the pilot project.

- Baseline data is due to the program by **September 15, 2016**.

### **Oregon Administrative Rules, 333-010-0435**

#### **Evaluation and Monitoring:**

(1) Evaluation Plan. A sponsor of a dental pilot project must have an evaluation plan that includes, but is not limited to the following:

(a) A description of the baseline data and information collected about the availability or provision of oral health care delivery, or both, prior to utilization of the trainee;

(b) A description of baseline data and information to be collected about trainee performance, acceptance among patient and community, and cost effectiveness;

(c) A description of methodology to be used in collecting and analyzing the data about trainee performance, acceptance, and cost effectiveness; and

(d) A provision for reviewing and modifying objectives and methodology at least annually.

(2) Monitoring Plan. A sponsor of a dental pilot project must have a monitoring plan that ensures at least quarterly monitoring and describes how the sponsor will monitor and ensure:

(a) Patient safety;

(b) Trainee competency;

(c) Supervisor fulfillment of role and responsibilities; and

(d) Employment/utilization site compliance.

(3) Data. A sponsor's evaluation and monitoring plans must describe:

(a) How data will be collected;

(b) How data will be monitored for completeness; and

(c) How data will be protected and secured.

(4) A sponsor must permit project staff or their designees to visit each employment/utilization site at least monthly during the first six month period and at least quarterly thereafter.

(5) A sponsor must provide a report of information requested by the program in a format and timeframe requested.

(6) A sponsor must report adverse events to the program the day they occur.

The Dental Pilot Project Program is responsible for monitoring approved pilot projects. Program staff shall evaluate approved projects and the evaluation shall include but is not limited to reviewing progress reports and conducting site visits. The program is responsible for ascertaining the progress of the project in meeting its stated objectives and in complying with program statutes and regulations.

The Dental Pilot Project Program will monitor DPP #200 through written reports and site visit evaluations. In addition, we expect the Evaluation Committee to assist the Dental Pilot Project Program with the monitoring and development of guidelines to strengthen protocols, if possible, pursuant to their findings.

## Oregon Administrative Rules, 333-010-0455

### Program Responsibilities:

(1) Project evaluation. Program staff shall evaluate approved projects and the evaluation shall include but is not limited to:

(a) Periodically requesting written information from the project, at least annually to ascertain the progress of the project in meeting its stated objectives and in complying with program statutes and regulations; and

(b) Periodic, but at least annual, site visits to project offices, locations, or both, where trainees are being prepared or utilized.

(2) Site visits.

(a) Site visits shall include, but are not limited to:

(A) Determination that adequate patient safeguards are being utilized;

(B) Validation that the project is complying with the approved or amended application; and

(C) Interviews with project participants and recipients of care.

(b) An interdisciplinary team composed of representatives of the dental boards, professional organizations, and other state regulatory bodies may be invited to participate in the site visit.

(c) Written notification of the date, purpose, and principal members of the site visit team shall be sent to the project director at least 14 calendar days prior to the date of the site visit.

(d) Plans to interview trainees, supervisors, and patients or to review patient records shall be made in advance through the project director.

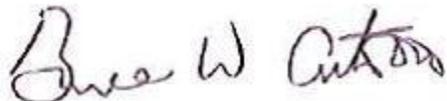
(e) An unannounced site visit may be conducted by program staff if program staff have concerns about patient or trainee safety.

(f) A report of findings and an indication of pass or fail for site visits shall be prepared by program staff and provided to the project director in written format within 60 calendar days following a site visit.

The Dental Pilot Project Program will work collaboratively with the Oregon Health Science and University. An Evaluation Committee will be developed to monitor and review the approved pilot project. The Evaluation Committee is an interdisciplinary team composed of representatives of the dental boards, professional organizations, other state regulatory bodies and interested parties that have applied to participate in evaluating the approved project.

Ms. Sarah Kowalski will serve as the Project Coordinator and you may contact her with any questions at 971-673-1563 or [sarah.e.kowalski@state.or.us](mailto:sarah.e.kowalski@state.or.us).

Sincerely,

A handwritten signature in black ink that reads "Bruce W. Austin". The signature is written in a cursive style with a large initial "B" and "A".

Bruce Austin, DMD  
Statewide Dental Director